

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA
San Jose DIVISION**

In re: SURPLUS PROCESS EQUIPMENT § Case No. 16-53504
CORPORATION §
 §
 §
Debtor(s)

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

Kari S. Bowyer, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u> \$357,462.32 </u> <i>(without deducting any secured claims)</i>	Assets Exempt: <u> N/A </u>
Total Distributions to Claimants: <u> \$18,038.99 </u>	Claims Discharged Without Payment: <u> N/A </u>
Total Expenses of Administration: <u> \$31,306.68 </u>	

3) Total gross receipts of \$52,895.67 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$3,550.00 (see **Exhibit 2**), yielded net receipts of \$49,345.67 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from Exhibit 3)	\$0.00	\$1,665.00	\$0.00	\$0.00
PRIORITY CLAIMS: CHAPTER 7 ADMIN. FEES AND CHARGES (from Exhibit 4)	\$0.00	\$31,306.68	\$31,306.68	\$31,306.68
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from Exhibit 5)	\$0.00	\$0.00	\$0.00	\$0.00
PRIORITY UNSECURED CLAIMS (from Exhibit 6)	\$1.00	\$2,975.76	\$2,975.76	\$0.00
GENERAL UNSECURED CLAIMS (from Exhibit 7)	\$898,923.53	\$1,374,548.08	\$1,374,548.08	\$18,038.99
TOTAL DISBURSEMENTS	\$898,924.53	\$1,410,495.52	\$1,408,830.52	\$49,345.67

4) This case was originally filed under chapter 7 on 12/15/2016. The case was pending for 27 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 03/02/2019

By: /s/ Kari S Bowyer
Trustee

STATEMENT This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO
FINAL ACCOUNT**

EXHIBIT 1 – GROSS RECEIPTS

DESCRIPTION	UNIFORM TRAN. CODE ¹	\$ AMOUNT RECEIVED
Inventory - Semi-Conductor Equipment	1129-000	\$14,500.00
Deposit Reversal: Payment on outstanding PO 4513147473	1110-000	-\$500.00
Confirmed AR payment made pre-petition to debtor's BofA Account (12/13/16)		
Payment on outstanding PO 0000453804	1110-000	\$900.00
Payment on Invoice 86543 and 86566	1280-000	\$3,550.00
Post petition transfer of funds to debtor's accountant Branton & De Jong	1241-000	\$5,758.38
Misc office furniture, Equipment and electronics	1129-000	\$500.00
American Express (preference)	1141-000	\$21,000.00
Bank of America CK #0973	1129-000	\$6,727.29
Refund for over payment of state taxes 12/16	1224-000	\$800.00
AR owed by Texas Instruments	1221-000	\$60.00
Deposit Reversal: Payment on outstanding PO 0000453804; confirmed AR paid pre-petition to Debtor's BofA Account (12/13/16)	1110-000	-\$900.00
Payment on outstanding PO 4513147473	1110-000	\$500.00
TOTAL GROSS RECEIPTS		\$52,895.67
¹ The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.		

EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
Semiconducting Tooling Services, Inc.	Return of non-estate funds (deposit 01/24/17); confirmed previously paid by wire transfer (12/2/16)	8500-002	\$3,550.00
TOTAL FUNDS PAID TO DEBTOR & THIRD PARTIES			\$3,550.00

EXHIBIT 3 - SECURED CLAIMS

Claim NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
8S	Blue Bridge Global Corporation	4110-000	NA	\$1,665.00	\$0.00	\$0.00
TOTAL SECURED			\$0.00	\$1,665.00	\$0.00	\$0.00

EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee, Fees - Kari S. Bowyer	2100-000	NA	\$5,684.57	\$5,684.57	\$5,684.57
Trustee, Expenses - Kari S. Bowyer	2200-000	NA	\$45.93	\$45.93	\$45.93
Auctioneer Fees - Hbendix Auctioneers	3610-000	NA	\$1,800.00	\$1,800.00	\$1,800.00
Bond Payments - BOND	2300-000	NA	\$14.75	\$14.75	\$14.75
Administrative Rent - Versgrove Moving Systems	2410-000	NA	\$2,652.77	\$2,652.77	\$2,652.77
Banking and Technology Service Fee - Rabobank, N.A.	2600-000	NA	\$716.34	\$716.34	\$716.34
Other State or Local Taxes (post-petition) - FRANCHISE TAX BOARD	2820-000	NA	\$800.00	\$800.00	\$800.00
Attorney for Trustee Fees (Other Firm) - Glosson Law	3210-000	NA	\$16,150.00	\$16,150.00	\$16,150.00
Attorney for Trustee Expenses (Other Firm) - Glosson Law	3220-000	NA	\$668.72	\$668.72	\$668.72
Accountant for Trustee Fees (Other Firm) - KOKJER, PIEROTTI, MAIOCCO & DUCK LLP	3410-000	NA	\$2,600.00	\$2,600.00	\$2,600.00
Accountant for Trustee Expenses (Other Firm) - KOKJER, PIEROTTI, MAIOCCO & DUCK LLP	3420-000	NA	\$173.60	\$173.60	\$173.60
TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES		NA	\$31,306.68	\$31,306.68	\$31,306.68

EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					

EXHIBIT 6 – PRIORITY UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
4P-3	Internal Revenue Service	5800-000	NA	\$2,975.76	\$2,975.76	\$0.00
N/F	Franchise Tax Board	5600-000	\$1.00	NA	NA	NA
TOTAL PRIORITY UNSECURED CLAIMS			\$1.00	\$2,975.76	\$2,975.76	\$0.00

EXHIBIT 7 – GENERAL UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
	Clerk of the Bankruptcy Court	7100-002	NA	\$5,546.59	\$5,546.59	\$5,546.59
1	Praxair Distribution Inc	7100-000	\$2,518.40	\$2,294.75	\$2,294.75	\$26.49
2	Modutek Corporation	7100-000	\$295.00	\$2,695.00	\$2,695.00	\$31.12
3	Hoge Fenton Jones & Appel, Inc.Gina Anderson Hoge Fenton Jones & Appel	7100-000	NA	\$0.00	\$0.00	\$0.00
4U-2	Internal Revenue Service	7300-000	\$1.00	\$2,000.65	\$2,000.65	\$0.00
5	Hoge Fenton Jones & Appel, Inc.I	7100-000	\$44,560.13	\$15,057.13	\$15,057.13	\$173.84
6	Jazz Semiconductor, Inc.	7100-000	\$138,070.63	\$138,070.63	\$138,070.63	\$1,594.09
7	Semiflow Engineering LLC	7100-000	\$2,125.00	\$2,125.00	\$2,125.00	\$24.53
8U	Blue Bridge Global Corporation	7100-000	\$3,328.54	\$3,465.00	\$3,465.00	\$40.01
9	PacTec 2nd Source, Inc.	7100-000	\$7,100.00	\$7,100.00	\$7,100.00	\$81.97
10	John Sardi	7100-000	NA	\$890,208.34	\$890,208.34	\$10,277.89
11	Infinera Corporation	7100-000	\$104,700.00	\$222,670.00	\$222,670.00	\$0.00
12	Vishay Siliconix Inc.	7200-000	\$62,314.99	\$62,314.99	\$62,314.99	\$0.00
13-1	American Express Bank, FSB	7100-000	NA	\$21,000.00	\$21,000.00	\$242.46
N/F	ACE Seal Company	7100-000	\$183.32	NA	NA	NA
N/F	AT&T	7100-000	\$187.67	NA	NA	NA

N/F	ATT-Qume Phone/Internet	7100-000	\$3.43	NA	NA	NA
N/F	Aeronet	7100-000	\$7,468.42	NA	NA	NA
N/F	Allied Administrators for Delta Dental	7100-000	\$380.48	NA	NA	NA
N/F	Apollo TB Electric	7100-000	\$1,800.00	NA	NA	NA
N/F	Avago Technologies Manuf. (Singapore)	7100-000	\$39,000.00	NA	NA	NA
N/F	B of A Business Card	7100-000	\$31,000.00	NA	NA	NA
N/F	Chubb Group	7100-000	\$3,119.75	NA	NA	NA
N/F	Citibusiness	7100-000	\$600.00	NA	NA	NA
N/F	Delta Alarm	7100-000	\$260.00	NA	NA	NA
N/F	Desert China Technology, LLC	7100-000	\$372,000.00	NA	NA	NA
N/F	Federal Express	7100-000	\$156.03	NA	NA	NA
N/F	Finishing First	7100-000	\$150.00	NA	NA	NA
N/F	Jelight Company	7100-000	\$885.00	NA	NA	NA
N/F	KDL Services	7100-000	\$6,795.19	NA	NA	NA
N/F	Kaiser Permanente	7100-000	\$1,446.74	NA	NA	NA
N/F	LP Glassblowing. Inc.	7100-000	\$807.00	NA	NA	NA
N/F	MKS Instruments	7100-000	\$11,320.00	NA	NA	NA
N/F	McMaster-Carr Supply Company	7100-000	\$897.18	NA	NA	NA
N/F	Metrologytek, Inc.	7100-000	\$15,636.45	NA	NA	NA
N/F	Modular Process Tech	7100-000	\$1,270.00	NA	NA	NA
N/F	Omnitek	7100-000	\$600.00	NA	NA	NA

N/F	Pacific Gas & Electric	7100-000	\$2,609.34	NA	NA	NA
N/F	Process Stainless Lab., Inc.	7100-000	\$130.00	NA	NA	NA
N/F	Republic Indemnity Co of America	7100-000	\$1,341.12	NA	NA	NA
N/F	Republic Services	7100-000	\$203.56	NA	NA	NA
N/F	Santa Clara County	7100-000	\$23.00	NA	NA	NA
N/F	Silicon Valley Building Maintenance, Inc	7100-000	\$375.00	NA	NA	NA
N/F	Silicon Valley Semiconductor Inc.	7100-000	\$937.50	NA	NA	NA
N/F	The Home Depot	7100-000	\$211.46	NA	NA	NA
N/F	Versgrove Storage	7100-000	\$212.20	NA	NA	NA
N/F	Viet Le	7100-000	\$31,900.00	NA	NA	NA
TOTAL GENERAL UNSECURED CLAIMS			\$898,923.53	\$1,374,548.08	\$1,374,548.08	\$18,038.99

Form 1

Individual Estate Property Record and Report

Asset Cases

Exhibit 8

Page: 1

Case No.: 16-53504

Case Name: SURPLUS PROCESS EQUIPMENT CORPORATION

For Period Ending: 03/02/2019

Trustee Name: (001760) Kari S. Bowyer

Date Filed (f) or Converted (c): 12/15/2016 (f)

§ 341(a) Meeting Date: 01/24/2017

Claims Bar Date: 04/22/2017

1 Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=§554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					
1	Bank of America CK #0973	5,326.61	5,326.61	6,727.29	FA
2	Inventory - Semi-Conductor Equipment Report of sale (Dkt 46)	250,000.00	9,000.00	14,500.00	FA
3	Misc office furniture, Equipment and electronics (Combined assets 39&50); See report of Sale Dkt 46	7,500.00	1,000.00	500.00	FA
4	Copyright: Gasonics L3510 Confirmed- no value.	1.00	1.00	0.00	FA
5	Website- Specequipment.com	0.01	0.01	0.00	FA
6	Outstanding Accounts Receivable Valid defenses for payment- not collectible.	110,000.00	110,000.00	0.00	FA
7	Versgrove Storage Location of stored goods.	1.00	1.00	0.00	FA
8	Accounts Receivable- Not previously listed (u) Payment on Invoice 86543 and 86566; confirmed funds received for invoices were previously paid by wire to debtor's bank account pre-petition. Funds returned.	0.00	0.00	0.00	FA
9	American Express (preference) Order confirming settlement (Dkt 57)	51,992.15	23,951.75	21,000.00	FA
10	Bayani Banaag	7,657.38	0.00	0.00	FA
11	CH Pension Service Inc.	11,695.00	0.00	0.00	FA
12	Edward Dukats	21,000.00	0.00	0.00	FA
13	Everardo Caballero	16,977.93	0.00	0.00	FA
14	EDD	6,656.17	0.00	0.00	FA
15	Fidelity-SPEC PSP Loan (u)	52,784.11	0.00	0.00	FA
16	Internal Revenue Service	31,450.64	0.00	0.00	FA
17	KDL Services	7,680.36	0.01	0.00	FA
18	Leland Stevens	11,244.87	0.00	0.00	FA
19	Michael Tran Monthly lease obligation.	23,599.64	0.00	0.00	FA
20	Viet Le	43,714.21	0.00	0.00	FA
21	Post petition transfer of funds to debtor's accountant Branton & De Jong (u)	5,758.38	5,758.38	5,758.38	FA

Case: 16-53504 Doc# 96 Filed: 03/22/19 Entered: 03/22/19 11:27:59 Page 9 of 17

Form 1

Individual Estate Property Record and Report

Asset Cases

Exhibit 8

Page: 2

Case No.: 16-53504

Case Name: SURPLUS PROCESS EQUIPMENT
CORPORATION

Trustee Name: (001760) Kari S. Bowyer

Date Filed (f) or Converted (c): 12/15/2016 (f)

§ 341(a) Meeting Date: 01/24/2017

For Period Ending: 03/02/2019

Claims Bar Date: 04/22/2017

1 Asset Description (Scheduled And Unscheduled (u) Property)		2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=\$554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #						
22	Sanctions- Violation of automatic stay; Vergrove (u)	13,000.00	13,000.00		0.00	FA
23	Refund for over payment of state taxes 12/16 (u)	800.00	800.00		800.00	FA
24	AR owed by Texas Instruments (u)	0.00	60.00		60.00	FA
24	Assets Totals (Excluding unknown values)	\$678,839.46	\$168,898.76		\$49,345.67	\$0.00

Form 1
Individual Estate Property Record and Report
Asset Cases

Exhibit 8

Page: 3

Case No.: 16-53504

Case Name: SURPLUS PROCESS EQUIPMENT
CORPORATION

For Period Ending: 03/02/2019

Trustee Name: (001760) Kari S. Bowyer

Date Filed (f) or Converted (c): 12/15/2016 (f)

§ 341(a) Meeting Date: 01/24/2017

Claims Bar Date: 04/22/2017

Major Activities Affecting Case Closing:

12/16/16 Review case after filing. Send demand letter to BofA regarding bank funds.
12/19/16 Received call from BofA- funds held, correspondence must be mailed via certified mail for turnover.
12/20/16 Mailed certified correspondence to BofA- contacted Versgrove Storage to view estate assets;
12/23/16 Attempt to view assets- no one available.
12/26/16 Email to West with equipment list to value property. Confirmed based on inventory list cost of sale would exceed value;
01/03/17 Versgrove Storage, failed to respond- left add'l voicemail requesting return call to arrange viewing.
01/05/17 Further call/voicemail to Versgrove Storage re viewing assets. - No response.
01/17/17 Email to debtor's counsel re estate assets. Draft correspondence to Versgrove requesting access- sent via certified mail.
01/18/17 Received voicemail from J. Versgrove- responded via email to same. Email to H. Bendix auctioneers review sale of assets and scheduled for viewing on Monday January 24th
01/21/17 Prepared for upcoming MOC and emailed notes to estate's counsel for review.
01/22/17 Confirmed case filed as no asset- updated to request notice of possible dividend.
01/24/17 Conducted scheduled MOC, Received checks at the scheduled MOC- prepared deposit.
01/27/17 Two of the three checks deposited were returned, see if collectible.
01/30/17 Left VM with potential agent re sale of estate assets.
02/06/17 Review additional documents submitted- confirmed missing information/documents.
02/07/17 Continued MOC scheduled- no personal appearance required.
02/11/17 Review status from agent re potential sale of assets;
02/12/17 Confirm no secured creditors based on UCC filings.
02/20/17 Email to auctioneer re status of sale of assets.
02/21/17 Continue MOC scheduled. Conclude.
02/22/17 Review and accept offer to purchase estate assets
02/28/17 Email to proposed accountant re estate tax issues, review and respond to email from auctioneer, review and respond to estate's counsel. Confirmed order entered re employment of auctioneer.
03/01/17 Confirmed motion to sell estate assets and pay agent is filed.
03/02/17 Phone conference with Versgrove Storage to resolve potential administrative storage claim- informed the assets would not be released. Phone conference with counsel re issues.
03/06/17 Review and approve motion for turnover of estate assets, discuss need to file adversary.
03/08/17 Received voicemail re interest in bid for estate assets- returned call and left detail message re procedure.
03/21/17 Phone conference with counsel re upcoming hearing;
03/22/17 Court approving sale of estate assets and turnover.
03/23/17 Phone conference with counsel re sale of underlying assets, email to agent and buyer re order approving sale of estate assets;
03/24/17 Review demand correspondence on outstanding AR;
03/27/17 Review email from buyer that Versgrove storage will not release assets to buyer, discuss with counsel;
03/29/17 Review motion, proposed order for turnover of estate assets and discuss help of US Marshall; Motion for contempt filed.
03/30/17 Phone conference with agent re recent phone conference with buyer re turnover of assets.
04/05/17 Spoke with counsel and agent re delivery of estate property.
04/06/17 Hearing on contempt by Versgrove held, phone conference with counsel re hearing.
04/11/17 Draft and mail demand for return of preference payments.
04/17/17 Received potential contact for valuation and sale of trademark. Forward information for valuation.
04/19/17 Review supplemental statement re sale of estate assets filed as Dkt. 44
04/20/17 Draft and file report of sale of estate assets.
05/05/17 Confirmed settlement with AMEX on preference claim.
05/09/17 Follow-up email/phone call re trademark value.
06/06/17 Trustee reply to Versgrove response filed with the court-submitted.
06/21/17 Confirmed the estate trademark has no value.
06/22/17 Email from/to Semiconductor Tooling that they paid twice on the same PO- need documentation to confirm.

Case: 16-53504 Doc#: 98 Filed: 06/22/19 Entered: 06/22/19 15:07:56 Page 11 of 17

Form 1
Individual Estate Property Record and Report
Asset Cases

Exhibit 8

Page: 4

Case No.: 16-53504

Case Name: SURPLUS PROCESS EQUIPMENT
CORPORATION

For Period Ending: 03/02/2019

Trustee Name: (001760) Kari S. Bowyer

Date Filed (f) or Converted (c): 12/15/2016 (f)

§ 341(a) Meeting Date: 01/24/2017

Claims Bar Date: 04/22/2017

07/02/17 Email to Semiconductor tooling, correct double payment, refund will be issued.
07/15/17 Refund check mailed
07/17/17 Phone conference with counsel re status of case and matters to resolve before case closing. Send demand to debtor's accountant for receipt of post-petition funds and demand turnover.
08/09/17 Demand for turnover of estate assets mailed.
08/10/17 Review order by court denying our sanctions for contempt.
08/11/17 Phone conference with counsel re order and considerations going forward
08/14/17 Draft and mail demand for turnover of funds paid post-petition.
08/18/17 Review settlement to J. Versgrove;
08/23/17 Follow-up re turnover of estate funds for pre-petition AR; confirmed would be provided.
09/14/17 Confirmed third party sent funds to pre-petition bank account. Demand turnover.
10/15/17 Review and approve counsel's fee application;
10/18/17 Received bankruptcy funds, contact R. Pierotti to prepare estate tax return
10/30/17 Email sent requesting tax returns be prepared.
11/02/17 Received, reviewed and returned tax return.
12/4/17 Email to secured party requesting they amend to remove secured status by 12/8/17 or will file objection to claim.
12/10/17 Begin preparation of the TFR
12/29/17 No response, drafted and mailed objection
12/31/17 Filed objection with the court
02/02/18 Drafted and filed default paperwork; continue preparation of the TFR and related documents.
2/13/18 Serve objection to creditor Blue Bridge Global. Court rejected- reserve
03/28/18 Order entered on estate's objection to claim. TFR submitted.
06/27/18 TFR set for hearing, notified of error in priority payment
07/01/18 Update TFR and submit amended TFR
09/26/18 TFR heard; upload order
09/30/18 Mailed all distribution checks.
1/4/19 Confirmed 2 checks have not cleared- put stop payment on check and contact creditors.
1/9/19 Mail notice of unclaimed funds.
2/2/19 filed amended notice of unclaimed funds to complete creditor address.
3/2/19 Confirmed all checks cleared- file and submit TDR.

Initial Projected Date Of Final Report (TFR): 01/31/2018

Current Projected Date Of Final Report (TFR): 03/28/2018 (Actual)

Form 2

Exhibit 9
Page: 1

Cash Receipts And Disbursements Record

Case No.: 16-53504
Case Name: SURPLUS PROCESS EQUIPMENT CORPORATION
Taxpayer ID #: **-***6066
For Period Ending: 03/02/2019

Trustee Name: Kari S. Bowyer (001760)
Bank Name: Rabobank, N.A.
Account #: *****3600 Checking
Blanket Bond (per case limit): \$55,486,801.00
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
01/13/17	{1}	Bank of America	Turnover of funds after demand on bank	1129-000	6,727.29		6,727.29
01/24/17		Semiconductor Tooling Services, Inc	Payment on Invoice 86543 and 86566	1280-000	3,550.00		10,277.29
01/24/17		Texas Instruments Incorporated	Payment on outstanding PO *****7473	1110-000	500.00		10,777.29
01/24/17		Intersil	Payment on outstanding PO *****3804	1110-000	900.00		11,677.29
01/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		10.00	11,667.29
01/31/17		Texas Instruments Incorporated	Deposit Reversal: Payment on outstanding PO *****7473 Confirmed AR payment made pre-petition to debtor's BofA Account (12/13/16)	1110-000	-500.00		11,167.29
01/31/17		Intersil	Deposit Reversal: Payment on outstanding PO *****3804; confirmed AR paid pre-petition to Debtor's BofA Account (12/13/16)	1110-000	-900.00		10,267.29
02/27/17		California Bank of Commerce	Sale of underlying estate assets subject to overbid		15,000.00		25,267.29
	{2}		Equipment \$14,500.00	1129-000			25,267.29
	{3}		Office tools and equipment \$500.00	1129-000			25,267.29
02/28/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		13.78	25,253.51
03/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		37.53	25,215.98
04/13/17	101	HBendix Auctioneers	Commission paid on sale of estate assets. 12% of sale proceeds \$15,000.00. No expenses incurred. Dkt 33-Order.	3610-000		1,800.00	23,415.98
04/13/17	102	FRANCHISE TAX BOARD	2017 Form 100-ES; Paid pursuant to court order Dkt 43	2820-000		800.00	22,615.98
04/28/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		32.98	22,583.00
05/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		35.92	22,547.08
06/05/17	{9}	American Express Travel Related Services	Settlement Payment with AMEX on preference payment	1141-000	21,000.00		43,547.08
06/08/17	{23}	State of California	12/2016 Overpayment on State Tax	1224-000	800.00		44,347.08
06/30/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		57.28	44,289.80

Page Subtotals: \$47,077.29 \$2,787.49

Case: 16-53504 Doc# 96 Filed: 03/22/19 Entered: 03/22/19 11:27:59 Page 13 of

Form 2

Exhibit 9
Page: 2

Cash Receipts And Disbursements Record

Case No.: 16-53504
Case Name: SURPLUS PROCESS EQUIPMENT CORPORATION
Taxpayer ID #: **-***6066
For Period Ending: 03/02/2019

Trustee Name: Kari S. Bowyer (001760)
Bank Name: Rabobank, N.A.
Account #: *****3600 Checking
Blanket Bond (per case limit): \$55,486,801.00
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
07/15/17	103	Semiconducting Tooling Services, Inc.	Return of non-estate funds (deposit 01/24/17); confirmed previously paid by wire transfer (12/2/16)	8500-002		3,550.00	40,739.80
07/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		60.72	40,679.08
08/18/17	{21}	Branton, de Jong & Associates	Return of estate funds paid post-petition cleared bank petition date.	1241-000	5,758.38		46,437.46
08/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		66.85	46,370.61
09/29/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		64.47	46,306.14
10/16/17	{24}	Bank of America	Funds paid by Texas Instruments and sent via EFT to Bank of America. Funds returned.	1221-000	60.00		46,366.14
10/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		71.08	46,295.06
11/30/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		66.58	46,228.48
12/29/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		64.27	46,164.21
01/25/18	104	International Sureties, LTD	Bond #016048574 Term 01/01/18-01/01/19	2300-000		14.75	46,149.46
01/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		73.03	46,076.43
02/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		61.85	46,014.58
09/30/18	105	KOKJER, PIEROTTI, MAIOCCO & DUCK LLP	Combined dividend payments for Claim #Dkt, Dkt			2,773.60	43,240.98
			Claims Distribution - Sun, 07-01-2018 \$2,600.00	3410-000			43,240.98
			Claims Distribution - Sun, 07-01-2018 \$173.60	3420-000			43,240.98
09/30/18	106	Glosson Law	Combined dividend payments for Claim #Dkt, Dkt			16,818.72	26,422.26
			Claims Distribution - Sun, 07-01-2018 \$16,150.00	3210-000			26,422.26
			Claims Distribution - Sun, 07-01-2018 \$668.72	3220-000			26,422.26
09/30/18	107	Kari S. Bowyer	Combined trustee compensation & expense dividend payments.			5,730.50	20,691.76

Case: 16-53504 Doc# 96 Filed: 03/22/19 Entered: 03/22/19 11:27:59 Page 14 of 17

Form 2

Exhibit 9
Page: 3

Cash Receipts And Disbursements Record

Case No.: 16-53504
Case Name: SURPLUS PROCESS EQUIPMENT CORPORATION
Taxpayer ID #: **-***6066
For Period Ending: 03/02/2019

Trustee Name: Kari S. Bowyer (001760)
Bank Name: Rabobank, N.A.
Account #: *****3600 Checking
Blanket Bond (per case limit): \$55,486,801.00
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
			Claims Distribution - Sun, 07-01-2018 \$5,684.57	2100-000			20,691.76
			Claims Distribution - Sun, 07-01-2018 \$45.93	2200-000			20,691.76
09/30/18	108	Versgrove Moving Systems	Claim Dividend paid 100.00% on \$2,652.77] Claim Number Claim Filed Amount \$2,652.77	2410-000		2,652.77	18,038.99
09/30/18	109	Internal Revenue Service	Claim Dividend paid 100.00% on \$2,975.76] Claim Number 4P-3 Claim Filed Amount \$2,975.76 Stopped on 01/07/2019	5800-000		2,975.76	15,063.23
09/30/18	110	Praxair Distribution Inc	Claim Dividend paid 1.15% on \$2,294.75] Claim Number 1 Claim Filed Amount \$2,294.75	7100-000		26.49	15,036.74
09/30/18	111	Modutek Corporation	Claim Dividend paid 1.15% on \$2,695.00] Claim Number 2 Claim Filed Amount \$2,695.00	7100-000		31.12	15,005.62
09/30/18	112	Hoge Fenton Jones & Appel, Inc.I	Claim Dividend paid 1.15% on \$15,057.13] Claim Number 5 Claim Filed Amount \$15,057.13	7100-000		173.84	14,831.78
09/30/18	113	Jazz Semiconductor, Inc.	Claim Dividend paid 1.15% on \$138,070.63] Claim Number 6 Claim Filed Amount \$138,070.63	7100-000		1,594.09	13,237.69
09/30/18	114	Semiflow Engineering LLC	Claim Dividend paid 1.15% on \$2,125.00] Claim Number 7 Claim Filed Amount \$2,125.00	7100-000		24.53	13,213.16
09/30/18	115	Blue Bridge Global Corporation	Claim Dividend paid 1.15% on \$3,465.00] Claim Number 8U Claim Filed Amount \$3,465.00	7100-000		40.01	13,173.15
09/30/18	116	PacTec 2nd Source, Inc.	Claim Dividend paid 1.15% on \$7,100.00] Claim Number 9 Claim Filed Amount \$7,100.00	7100-000		81.97	13,091.18
09/30/18	117	John Sardi	Claim Dividend paid 1.15% on \$890,208.34] Claim Number 10 Claim Filed Amount \$890,208.34	7100-000		10,277.89	2,813.29
09/30/18	118	Infinera Corporation	Claim Dividend paid 1.15% on \$222,670.00] Claim Number 11 Claim Filed Amount \$222,670.00 Stopped on 01/07/2019	7100-000		2,570.83	242.46
09/30/18	119	American Express Bank, FSB	Claim Dividend paid 1.15% on \$21,000.00] Claim Number 13-1 Claim Filed Amount \$21,000.00	7100-000		242.46	0.00
01/07/19	109	Internal Revenue Service	Claim Dividend paid 100.00% on \$2,975.76] Claim Number 4P-3 Claim Filed Amount \$2,975.76 Stopped on 01/07/2019	5800-000		-2,975.76	2,975.76
01/07/19	118	Infinera Corporation	Claim Dividend paid 1.15% on \$222,670.00] Claim Number 11	7100-000		-2,570.83	5,546.59

Case: 16-53504 Doc# 96 Filed: 03/22/19 Entered: 03/22/19 11:27:59 Page 15 of 17

Form 2

Exhibit 9

Page: 4

Cash Receipts And Disbursements Record

Case No.: 16-53504
Case Name: SURPLUS PROCESS EQUIPMENT CORPORATION
Taxpayer ID #: **-***6066
For Period Ending: 03/02/2019

Trustee Name: Kari S. Bowyer (001760)
Bank Name: Rabobank, N.A.
Account #: *****3600 Checking
Blanket Bond (per case limit): \$55,486,801.00
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
			Claim Filed Amount \$222,670.00 Stopped on 01/07/2019				
01/09/19	120	Clerk of the Bankruptcy Court	Unclaimed funds: Claim 11 and Claim 4P	7100-002		5,546.59	0.00

COLUMN TOTALS	52,895.67	52,895.67	\$0.00
Less: Bank Transfers/CDs	0.00	0.00	
Subtotal	52,895.67	52,895.67	
Less: Payments to Debtors		0.00	
NET Receipts / Disbursements	\$52,895.67	\$52,895.67	

Form 2

Exhibit 9

Page: 5

Cash Receipts And Disbursements Record

Case No.: 16-53504
Case Name: SURPLUS PROCESS EQUIPMENT CORPORATION
Taxpayer ID #: **_***6066
For Period Ending: 03/02/2019

Trustee Name: Kari S. Bowyer (001760)
Bank Name: Rabobank, N.A.
Account #: *****3600 Checking
Blanket Bond (per case limit): \$55,486,801.00
Separate Bond (if applicable): N/A

TOTAL - ALL ACCOUNTS	NET DEPOSITS	NET DISBURSEMENTS	ACCOUNT BALANCES
*****3600 Checking	\$52,895.67	\$52,895.67	\$0.00
	\$52,895.67	\$52,895.67	\$0.00